



1742  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

application of:

Group Art Unit: 1742

Naoyuki Kobayashi, et al.

Examiner: Leader, William T.

Serial No.: 09/787,021

Filed: April 18, 2001

For: METHOD FOR FORMING A LUBRICATIVE FILM FOR  
COLD WORKING

Attorney Docket No.: HSTI0112PUSA

**INFORMATION DISCLOSURE STATEMENT AND  
CERTIFICATION UNDER 37 C.F.R. § 1.97(c) AND (e)(1)**

Mail Stop Amendment  
Commissioner for Patents  
U.S. Patent & Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and §§ 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and/or SB08B are being submitted herewith for consideration by the Examiner. This Statement is being filed in accordance with 37 C.F.R. § 1.97(c), i.e., before the mailing of a notice of allowance.

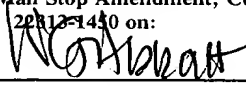
Each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application (copy of communication enclosed) not more than three months prior to the filing of this Statement.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8**

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, U.S. Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 on:

February 1, 2005  
Date of Deposit

William G. Abbatt  
Name of Person Signing

  
Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the references are "material" as defined under 37 C.F.R. § 1.56(b).

No copies of the listed U.S. patent references or the listed U.S. patent application publication references have been included herewith pursuant to 37 C.F.R. § 1.98(a)(2). All other references have been provided as required. Consideration and entry into the record of the listed references is respectfully requested.

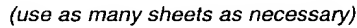
Respectfully submitted,

Naoyuki Kobayashi, et al.

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<b>Application Number</b>	09/787,021
<b>Filing Date</b>	April 18, 2001
<b>First Named Inventor</b>	Naoyuki Kobayashi, et al.
<b>Group Art Unit</b>	1742
<b>Examiner Name</b>	William T. Leader
<b>Attorney Docket Number</b>	HSTI0112PUSA

Sheet	1	of	2
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Examiner Signature		Date Considered	
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<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

